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CHANGE OF CORRESPONDENCE ADDRESS Applicati n

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.

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Application Number	09/865,242
Filing Date	05/25/2001
First Named Inventor	Anthony L. Fitzhugh
Art Unit	1615
Examiner Name	Blessing Fubara
Attorney Docket Number	14363-0038 (formerly 17363-38)

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I am the:					
Applicant/In	ventor				
	record of the entire interest. inder 37 CFR 3.73(b) is enclosed. (Form		96).		
X Attorney or	Agent of record. Registration Number	39,645		·· ·	·
	practitioner named in the application tran th or declaration. See 37 CFR 1.33(a)(1				n
Typed or Printed Name	Gullman				
Signature	Jull)				
Date 12/-	1/00			949-725-4152	
NOTE: Signatures of all the inventor forms if more than one signature is	s or assignees of record of the entire interest required, see below*.	or their repre	esen	stative(s) are required. Submit	t multiple
	orms are submitted.	en emiliar en			

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APRIL 02, 2001

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OPPENHEIMER WOLFF & DONNELLY LLP LOUIS C. CULLMAN 500 NEWPORT CENTER DRIVE, SUITE 700 NEWPORT BEACH, CALIFORNIA 92660



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/09/2001

REEL/FRAME: 011441/0564

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FITZHUGH, ANTHONY L.

DOC DATE: 01/04/2001

ASSIGNOR:

SAAVEDRA, JOSEPH

DOC DATE: 01/03/2001

ASSIGNOR:

KEEFER, LARRY K.

DOC DATE: 01/03/2001

ASSIGNEE:

U.S. GOVERNMENT DEPARTMENT OF HEALTH AND HUMAN SERVICES 6011 EXECUTIVE BLVD., SUITE 325 ROCKVILLE, MARYLAND 20852

SERIAL NUMBER: 09567579

PATENT NUMBER:

FILING DATE: 05/10/2000

ISSUE DATE:

RECEIVED

APR 1 0 2001

OPPENHEIMER, WOLFF AND DONNELLY

TARA WASHINGTON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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FORM PTO-1595 RLJOF	ET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
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To the Hon, Commissioner of Patents & Liquellians 1999	91751 ad original documents or copy thereof.
1. Name of conveying party(ies):	2. Name and address of receiving party(ies)
Anthony L. Fitzhugh	Name U.S. Government, Department of Health and
Joseph Saavedra	Human Services
Larry K. Keefer	
Additional name of conveying party attached? ☐Yes ☒No	Internal Address:
	Court Address CO11 Floorities Blad Co11 20F
3. Nature of conveyance:	Street Address: 6011 Executive Blvd., Suite 325
EZ / toolgriniont	City: Rockville State MD ZIP 20852
Security Agreement Change of Name	
Other	Additional name(s) and address(es) attached? Yes N
Execution Date: January 3, 2001 and January 4, 2001	
4. Application number(s) or patent numbers:	
	U silve dhe succession dese af she application in
If this document is being filed together with a new ap	plication, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
/ in a desire implication in a significant	
09/567,579	
The second secon	
Additional numbers attache	<u></u>
5. Name and address party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 14
concerning document should be mailed.	
	7. Total fee (37 CFR 3.41) \$40.00
OPPENHEIMER WOLFF & DONNELLY LLP	⊠Enclosed
500 Newport Center Drive, Suite 700	⊠ruciosea .
Newport Beach, California 92660	Authorized to be charged to deposit account
Attn: Louis C. Cullman	8. Deposit Account number:
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\	(Attach duplicate copy of this page if paying by deposit account)
/23/2001 DBYRNE 00000168 09567579	
FC:581 40.00 0 DO NOT USE	THIS SPACE
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9. Statement and signature. To the best of my knowledge and belief the force	oing information is true and correct and any attached copy
is a true copy of the original document.	only information is true and correct and any attached copy
1000	an -
Louis C. Cullman	January 5, 2001
Name of Person Signing Signature	Date
l otal number of pages including cover	sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to: Commissioner of Petents & Trademarks, Box Assignments, Washington, D.C.

ASSIGNMENT

WHEREAS, We Anthony L. Fitzhugh, a United States Citizen, residing at 2117 Independence Street, Frederick, MD 21702, and employee of Scientific Applications International Corporation (SAIC) a contractor to the Department of Health and Human Services at the time the invention was made; Joseph Saavedra, a United States Citizen, residing at 7189 Browns Lane, Thurmont, Maryland 21788, and employee of Scientific Applications International Corporation (SAIC) a contractor to the Department of Health and Human Services at the time the invention was made, and Larry K. Keefer, a United States Citizen, residing at 7016 River Road, Bethesda, MD 20817, employee of the Department of Health and Human Services at the time the invention was made, are the co-inventors of the invention described and set forth in patent application NITRIC OXIDE-RELEASING METALLIC MEDICAL DEVICES, for which we have made application (together with the other inventors) for Letters Patent of the United States, Serial Number 09/567,579, filed May 10, 2000;

WHEREAS, the conditions under which said invention was made are such as to entitle the Government of the United States, as represented by the Secretary, Department of Health and Human Services (hereinafater THE GOVERNMENT) under Paragraph 1(a) of Executive Order 10096, to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States is desirous of acquiring our domestic and foreign right, title, and interest in the aforesaid invention described in the aforesaid application for Letters Patent of the United States;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) by the THE GOVERNMENT to us paid, and other valuable consideration, the receipt and legal sufficiency of all of which is hereby acknowledged, We, the said ASSIGNORS, have sold and do hereby sell, assign, transfer and set over unto THE GOVERNMENT the entire right, title and interest in and to said inventions and all improvements thereon, in and to said application for Letters Patent thereon, in and to applications pertaining to or based upon said inventions and applications, including divisional and continuing applications and continuations-in-part, and in and to any and all Letters Patent which may be granted and issued on said inventions and applications, or any of them, not only for, to and in the United States of America, its territories and possessions, but for, to and in all countries foreign thereto, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment.

And for the above-named considerations, We do hereby agree that we will, at the request of THE GOVERNMENT, execute any and all applications for Letters Patent for said inventions and any and all other papers and documents and do all other and further lawful acts that THE GOVERNMENTmay deem necessary or desirable to obtain Letters Patent on said inventions, to secure the grant of such Letters Patent and to perfect and vest in THE GOVERNMENT the entire right, title and interest in the inventions, applications and Letters Patent.

And for the above-named considerations, We do hereby authorize and empower THE GOVERNMENT to apply for and obtain, in its or their own names, Letters Patent for

-		Authorities and in any and all countries s for Letters Patent can be so made or
Dated: 1/4/0/	_	Anthony L. Fitzhagh
Dated:		Joseph Saavedra
Dated:	-	Larry K. Keefer
the undersigned Notary Public, pers me (or proved to me on the basis of	enuary, 200 sonally appeared satisfactory evidence.	Anthony L. Fitzhugh, personally known to dence) to be the person whose name is ged to me that he/she executed the same.
Sept. 1, 2002		Notary Públic
STATE OF COUNTY OF))ss)	
	ally appeared Jos ctory evidence) t	eph Saavedra, personally known to me (or to be the person whose name is subscribed to
		Notary Public
STATE OF))ss	
COUNTY OF)	
	ctory evidence) t	before me the ry K. Keefer, personally known to me (or o be the person whose name is subscribed to he/she executed the same.
		Notary Public

ASSIGNMENT

WHEREAS, We Anthony L. Fitzhugh, a United States Citizen, residing at 2117 Independence Street, Frederick, MD 21702, and employee of Scientific Applications International Corporation (SAIC) a contractor to the Department of Health and Human Services at the time the invention was made; Joseph Saavedra, a United States Citizen, residing at 7189 Browns Lane, Thurmont, Maryland 21788, and employee of Scientific Applications International Corporation (SAIC) a contractor to the Department of Health and Human Services at the time the invention was made, and Larry K. Keefer, a United States Citizen, residing at 7016 River Road, Bethesda, MD 20817, employee of the Department of Health and Human Services at the time the invention was made, are the co-inventors of the invention described and set forth in patent application NITRIC OXIDE-RELEASING METALLIC MEDICAL DEVICES, for which we have made application (together with the other inventors) for Letters Patent of the United States, Serial Number 09/567,579, filed May 10, 2000;

WHEREAS, the conditions under which said invention was made are such as to entitle the Government of the United States, as represented by the Secretary, Department of Health and Human Services (hereinafater THE GOVERNMENT) under Paragraph 1(a) of Executive Order 10096, to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States is desirous of acquiring our domestic and foreign right, title, and interest in the aforesaid invention described in the aforesaid application for Letters Patent of the United States;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) by the THE GOVERNMENT to us paid, and other valuable consideration, the receipt and legal sufficiency of all of which is hereby acknowledged, We, the said ASSIGNORS, have sold and do hereby sell, assign, transfer and set over unto THE GOVERNMENT the entire right, title and interest in and to said inventions and all improvements thereon, in and to said application for Letters Patent thereon, in and to applications pertaining to or based upon said inventions and applications, including divisional and continuing applications and continuations-in-part, and in and to any and all Letters Patent which may be granted and issued on said inventions and applications, or any of them, not only for, to and in the United States of America, its territories and possessions, but for, to and in all countries foreign thereto, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment.

And for the above-named considerations, We do hereby agree that we will, at the request of THE GOVERNMENT, execute any and all applications for Letters Patent for said inventions and any and all other papers and documents and do all other and further lawful acts that THE GOVERNMENTmay deem necessary or desirable to obtain Letters Patent on said inventions, to secure the grant of such Letters Patent and to perfect and vest in THE GOVERNMENT the entire right, title and interest in the inventions, applications and Letters Patent.

And for the above-named considerations, We do hereby authorize and empower THE GOVERNMENT to apply for and obtain, in its or their own names, Letters Patent for

the said inventions before competent International Authorities and in any and all countries foreign to the United States in which applications for Letters Patent can be so made or Letters Patent so obtained.

Dated:	
Dated: 1/03/01	Anthony L. Fitzhugh Well Gloved Jøseph Savedra
Dated: 1/03/01	Larry K. Keefer
STATE OF Manyland) ss COUNTY OF Frederick)	
•	
me (or proved to me on the basis of satis	y appeared Anthony L. Fitzhugh, personally known to factory evidence) to be the person whose name is acknowledged to me that he/she executed the same.
•	Notary Public
STATE OF Waveland)ss COUNTY OF Induced)ss On this 3rd day of annual undersigned Notary Public, personally approved to me on the basis of satisfactory	the preared Joseph Saavedra, personally known to me (or evidence) to be the person whose name is subscribed to
the within instrument and acknowledged	to me that he/she executed the same.
Wanda K. Shook, Not Frederick Cou State of Maryl My Commission Expires	and Notary Public
STATE OF Waintand) COUNTY OF Ireduct)	
	2000, before me the personally known to me (or evidence) to be the person whose name is subscribed to to me that he/she executed the same.
Wanda K. Shook, Notary Pu Frederick County State of Maryland My Commission Expires Oct. 1,	Notary Public

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ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/09/2001

REEL/FRAME: 011441/0571

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHENG, PEIWEN

DOC DATE: 07/14/2000

ASSIGNOR:

CAFFERATA, ROBERT

DOC DATE: 07/10/2000

ASSIGNOR:

HENDRIKS, MARC

DOC DATE: 09/12/2000

ASSIGNOR:

TEDESCHI, EUGENE

DOC DATE: 09/11/2000

ASSIGNOR:

VERHOEVEN, MICHEL L.P.M.

DOC DATE: 09/12/2000

ASSIGNEE:

MEDTRONIC AVE, INC. 3576 UNOCAL PLACE SANTA ROSA, CALIFORNIA 94503

APR 1 0 2001

OPPENHEIMER, WOLFF

011441/0571 PAGE 2

SERIAL NUMBER: 09567579

PATENT NUMBER:

FILING DATE: 05/10/2000

ISSUE DATE:

PAULA MCCRAY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

	-24-2001	
FORM PTO-1595 RECURDA	U.S. DEPARTMENT OF COMMERCE	
	Patent and Trademark Office	
\.\G\.\o\(\)	((
To the Hon. Commissioner of Patents & Trac 10	1591752 /iginal documents or copy thereof.	
Name of conveying party(ies):	2. Name and address of receiving party(ies)	
Peiwen Cheng	Name Medtronic AVE, INC.	
Robert Cafferata Marc Hendriks	Internal Address:	
Marc Hendriks Eugene Tedeschi		
Michel L.P.M. Verhoeven	1 2001	
Additional name of conveying party attached? Yes No	Carrest Address 2576 Hospit Place	
3. Nature of conveyance:	Street Address: 3576 Unocal Place	
	City: Santa Rosa State CA ZIP 94503	
Security Agreement		
T 0.4	The state of the s	
. Other	Additional name(s) and address(es) attached? ☐Yes ☒No	
Execution Date:		
September 11, 2000 and September 12, 2000	·	
	<u> </u>	
4. Application number(s) or patent numbers:		
If this document is being filed together with a new application, the execution date of the application is:		
A. Patent Application No.(s)	B. Patent No.(s)	
0 <u>9/567,579</u>		
Additional numbers attache	ed? Yes ⊠ No	
5. Name and address party to whom correspondence	6. Total number of applications and patents involved: 14	
concerning document should be mailed:	Of Total Harrison of Epperature 2012	
	7. Total fee (37 CFR 3.41) \$40.00	
Oppenheimer Wolff & Donnelly llp	7. Total lee (37 Orn 3.41/	
OPPENHEIMER WOLFF & DONNELLY LLP 500 Newport Center Drive, Suite 700	⊠Enclosed	
Newport Center Drive, Suite 700		
Horrport Bodon, Camerina Cara	Authorized to be charged to deposit account	
Attn: Louis C. Cullman	8. Deposit Account number:	
Attn: Louis C. Cuiiman	8. Deposit Account number.	
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23/2001 DBYRNE 00000167 09567579	(Attach duplicate copy of this page if paying by deposit account)	
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FC:581 40.00)0P DO NOT USE	THIS SPACE	
Continue and signature		
9. Statement and signature. To the best of my knowledge and belief, the foreg	oing information is true and correct and any attached copy	
is a true copy of the original document.	only morniation is and and account and and	
(1/1/201)	<i>12.</i> /	
Louis C. Cullman	<u>January 5, 2001</u>	
Name of Person Signing Signature	Date	

ASSIGNMENT

WHEREAS, WE, Peiwen Cheng, whose address is 366 Breeden Street, Santa Rosa, California 95409, Robert Cafferata, whose address is 55 Warwick Road, Belmont, MA 02478 and Marc Hendriks, whose address is Schumanstraat 6, 6441 KD Brunssum, The Netherlands, Eugene Tedeschi, whose address is 3053 Porter Creek Road, Santa Rosa, California 95404, and Michel L.P.M. Verhoeven, whose address is Endepolsdomein 5, 6229 GW Maastricht, The Netherlands, hereinafter collectively "ASSIGNORS," have invented certain new and useful improvements disclosed in an application for United States Letters Patent entitled NITRIC OXIDE RELEASING METALLIC MEDICAL DEVICES, filed May 10, 2000, as Application Serial No. 09/567,579.

WHEREAS, ASSIGNORS warrant that they have rights, title and interest in and to said new invention and that they have never assigned, encumbered or licensed said invention or any interest therein to any person or entity whatsoever, and

WHEREAS, MEDTRONIC AVE, INC., a Delaware corporation, having an office and place of business at 3576 Unocal Place, Santa Rosa, California 94503, hereinafter "ASSIGNEE," is desirous of acquiring ASSIGNOR'S rights, title and interest in and to said invention, described in said application, in the United States and throughout the world;

NOW, THREFORE, for and in consideration of our employment by ASSIGNEE, and other good and valuable consideration, the receipt of which is hereby acknowledged, the said ASSIGNORS do sell, assign, transfer, and set over unto said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention in the United States and throughout the world, and in and to any Letters Patents, reissues, continuations or divisions of which may be granted and issued therefor, and hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said invention to ASSIGNEE in accordance with terms of this assignment;

AND ASSIGNORS further agree to execute all necessary documents and to perform all acts reasonably required of them for the purpose of protecting the rights herein assigned, including, without limitation, any applications for patent, for reissues, or for continuations and/or divisions in whole or in part, and the like which may heretofore or may be hereinafter filed, but all at the expense of said ASSIGNEE.

IN TESTIMONY WHEREOF, I have hereunto signed my name at Santa Rosa, CA on the dated indicated:

Peiwen Cheng	Dated: 7/14/2000
STATE OF California) COUNTY OF Sonoma)	
On this 14th day of July	2000, before me Rossand Grimm, then Cheng, personally known to me (or proved to me on the whose name is subscribed to the within instrument an

ROSSANN GRIMM
Commission # 1208150
Notary Public - California
Sonoma County
My Comm. Expires Jan 15, 2003

Robert Cafferata	Dated: 7-10-00
STATE OF))ss	
COUNTY OF)	- 10
On this /O'day of July, 2000, before undersigned Notary Public, personally appeared Robert Cafferata, perbasis of satisfactory evidence) to be the person whose name is acknowledged to me that he/sh execultified Robert Cafferata, perbasis of satisfactory evidence) to be the person whose name is acknowledged to me that he/sh execultified Robert Cafferata, perbasis of satisfactory evidence) to be the person whose name is acknowledged to me that he/sh execultified Robert Cafferata, perbasis of satisfactory evidence) to be the person whose name is acknowledged to me that he/sh execultified Robert Cafferata, perbasis of satisfactory evidence) to be the person whose name is acknowledged to me that he/sh execultified Robert Cafferata, perbasis of satisfactory evidence) to be the person whose name is acknowledged to me that he/sh execultified Robert Cafferata, perbasis of satisfactory evidence) to be the person whose name is acknowledged to me that he/sh execultified Robert Policy Public Commonwealth of Massachusetts My Commonwealth of Massachusetts Notary Public Robert Policy Public Robert	soliday known to me for proved to me on the subscribed to the within instrument and
·	Dated: 07/10/00
Marc Hendriks	
STATE OF)	•
COUNTY OF)	·
On this day of, 2000, before undersigned Notary Public, personally appeared Marc Hendriks, personals of satisfactory evidence) to be the person whose name is acknowledged to me that he/she executed the same.	s me, the sonally known to me (or proved to me on the s subscribed to the within instrument and
Eugene Tedeschi	Public Dated: 09/11/50
STATE OF California)	
county of Sonoma)	
On this 11th day of <u>September</u> , 2000, before undersigned Notary Public, personally appeared Eugene Tedeschi, personally a	rsonally known to me for proved to me on the
MAYANY SAM Commission # 1271187 Notary Public - Collianta Sonomo Courty My Comm. Expires Jul 20, 2004	Public

		Dated:	•
Robert Cafferata	·	•	
STATE OF))ss		
COUNTY OF)		·.
On this day of undersigned Notary Public, pers basis of satisfactory evidence) acknowledged to me that he/she	onally appeared Robert to be the person wh	2000, before me	r proved to me on the
	; /	Notary Public	_
M. W. William	-	Dated: 12 50 /	2000
Mard Hendriks			
	September, sonally appeared Marc I to be the person we executed the same	4 11	r broven to the old the
Eugene Tedeschi	P.P.F. Gro	Mr. Maria Petrix Rule Notary Public Dated:	w Franciscus Grantars
STATE OF))ss		, ,
COUNTY OF)		
On this day of undersigned Notary Public, per basis of satisfactory evidence acknowledged to me that he/she	e) to be the person w	2000, before me	or proved to me on the vithin instrument and
•		Matory Dublic	
		Notary Public	

•	
·	Michel L.P.M. Verhoeven Dated: 12 Sept 2000
•	STATE OF the Netherlands))ss COUNTY OF Maastricht)
•	On this 12 th day of September, 2000, before me mr. M.P.F. Groutors, the undersigned Notary Public, personally appeared Michel L.P.M. Verhoeven known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same.
•	Mr. Maria Petrus Paulus Franciscus Groutars Notary Public